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PATENT
Attorney Docket No. JDSU-009

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Scott Andrew Merritt

SERIAL NO.: 09/848,096 GROUP NO.: 2633

FILING DATE: May 3, 2001 EXAMINER: Dzung Tran

TITLE: TANDEM FILTERS FOR REDUCING INTERSYMBOL
INTERFERENCE IN OPTICAL COMMUNICATIONS SYSTEMS

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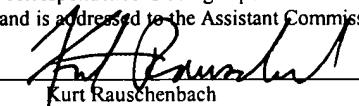
MAY 07 2002

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Date of Deposit: April 16, 2002


Kurt Rauschenbach

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicant respectfully requests that the Official Filing Receipt for the above-referenced patent application be corrected in accordance with the marked-up copy of the Filing Receipt provided herewith. In the title, "Tandum" should be corrected to read --Tandem--.

FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 501211. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



Kurt Rauschenbach

Atty/Agent for Applicant(s)

Law Office of Kurt Rauschenbach

Post Office Box 387

Bedford, MA 01730

Date: April 16, 2002

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PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM		Application Number	09/848,096
		Filing Date	5/3/2001
		First Named Inventor	Scott Andrew Merritt
		Group Art Unit	2633
		Examiner Name	Dzung Tran
Total Number of Pages in This Submission	7	Attorney Docket Number	JDSU-009

ENCLOSURES (check all that apply)

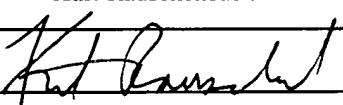
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; background-color: #f0f0f0; min-height: 50px;"> Request For Corrected Filing Receipt; Marked-Up Copy of Filing Receipt; and Postcard Receipt. </div>
Remarks		

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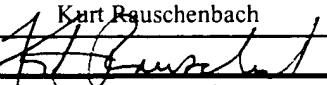
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt Rauschenbach	
Signature		
Date	April 16, 2002	

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Typed or printed name	Kurt Rauschenbach		
Signature		Date	April 16, 2002

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/848,096	05/03/2001	2633	836	JDSU-009	5	27	3

23701
 LAW OFFICES OF KURT RAUSCHENBACH
 POST OFFICE BOX 387
 BEDFORD, MA 01730

CONFIRMATION NO. 7089

FILING RECEIPT



OC00000006234209

Date Mailed: 06/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Scott Andrew Merritt, Pinner, UNITED KINGDOM;

Domestic Priority data as claimed by applicant**RECEIVED****Foreign Applications**

MAY 07 2002

If Required, Foreign Filing License Granted 06/27/2001

Technology Center 2600

Projected Publication Date: 11/07/2002

Non-Publication Request: No

Early Publication Request: No

Title

Tandem filters for reducing intersymbol interference in optical communications systems

Tandem

Preliminary Class

359

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 7089

SERIAL NUMBER 09/848,096	FILING DATE 05/03/2001 RULE	CLASS 359	GROUP ART UNIT 2633	ATTORNEY DOCKET NO. JDSU-009
APPLICANTS Scott Andrew Merritt, Pinner, UNITED KINGDOM; <i>Handwritten signatures</i>				
** CONTINUING DATA **** <i>Handwritten signatures</i>				
** FOREIGN APPLICATIONS **** <i>Handwritten signatures</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/27/2001				
Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verifier and Acknowledged Examiner's Signature <i>Handwritten signature</i> Initials	STATE OR COUNTRY UNITED KINGDOM	SHEETS DRAWING 5	TOTAL CLAIMS 27
ADDRESS 23701				
TITLE Tandem filters for reducing intersymbol interference in optical communications systems				
FILING FEE RECEIVED 836	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		